Update on Open Access

At General Faculty Meeting
24 April 2012
By Subcommittee on Open Access Policy
Academic Services Committee
Process

• Library Faculty Advisory Board led discussions of issues at faculty meetings (Spring and Fall 2011)
• Executive Board chartered an ad-hoc subcommittee of Academic Services Committee to examine open access (December 2011)
• Subcommittee discussed and developed a draft open access policy (Spring 2012)
• Subcommittee will hold Town Halls on draft and revise in response (late Spring and Fall 2012)
Charter

The Subcommittee on Open Access will study the question of open access to faculty publications and, as appropriate, draft a Georgia Tech policy on open access publishing, taking into account the diversity of interests and concerns on the part of Georgia Tech faculty.

The subcommittee will take as input the preliminary work of the Library Faculty Advisory Board, which has developed subject matter familiarity and has visited a majority of Georgia Tech faculty meetings to discuss the subject of open access.
Subcommittee Membership

- Steve McLaughlin, CoE, co-chair
- Ellen Zegura, CoC, co-chair
- Michael Best, IA and CoC
- Doug Britton, GTRI
- Rob Dickson, CoS
- Subhro Guhathakurta, CoA
- Evans Harrell, CoS
- Marlit Hayslit, GTRI
- Benjamin Herndon, CoM
GT Interests and Concerns

1. To increase the distribution reach of scholarly publications and thereby increase GT impact
2. To make it easy for those who do not want to participate
3. To control our destiny by leading in the state with a GT-designed open access policy
4. To be compatible with a business model for journals
Point 1

To increase the distribution reach of scholarly publications and thereby increase GT impact

How: Adopt an open access policy that supports retention of copyright rights that allow publications to be put into a repository such as SMARTech
Point 2

To make it easy for those who do not want to participate

How: Simple, opt-out procedure with no questions asked and no planned enforcement
Point 3

To control our destiny by leading in the state with a GT-designed open access policy

How: By getting ahead of any other USG open access efforts, and by taking into account GT culture and technical expertise
Point 4

To be compatible with a business model for journals

How: In addition to opt-out, by allowing an embargo period of up to one year so journals have the market on early access
Paragraph 1: The “why” paragraph
The Faculty of Georgia Tech is committed to disseminating the fruits of its research and scholarship as widely as possible. In addition to the public benefit of such dissemination, this policy is intended to serve faculty interests by promoting greater reach and impact for articles, simplifying author retention of distribution rights, and aiding in electronic preservation. In keeping with these commitments, the Faculty adopts the following policy:

Paragraph 2: The “what” paragraph with legal language
Each Faculty member grants to Georgia Tech Research Corporation (hereinafter "GTRC") nonexclusive permission to make available his or her scholarly articles and to exercise the copyright in those articles for the purpose of open dissemination. In legal terms, each Faculty member grants to GTRC a nonexclusive, irrevocable, royalty-free, worldwide license to exercise any and all copyrights in his or her scholarly articles published in any medium, provided the articles are not sold or licensed for a profit by GTRC or any GTRC-granted licensee.
Paragraph 3: The “applicability and opt out” paragraph
This policy applies to all published scholarly articles that any person authors or co-authors while appointed as a member of the Faculty, except for any such articles authored or co-authored before the adoption of this policy, or subject to a conflicting agreement formed before the adoption of this policy, or conducted under a classified research agreement. Upon notification by the author, the Provost or Provost's designate will waive application of this license for a particular article or delay access for a specific time period.

Paragraph 4: The “how to comply” paragraph
To assist in distributing the scholarly articles, each Faculty member will make available an electronic copy of his or her final version of the article at no charge to a designated representative of the Provost’s Office in appropriate formats (such as PDF) specified by the Provost’s Office, no later than the date of publication. The Provost’s Office will make the scholarly article available to the public in an open-access institutional repository.
Draft Policy (3 of 3)

Paragraph 5: The “alternative repository” paragraph
In lieu of submission to a Georgia Tech institutional repository, an author may satisfy the terms of this policy by making such work available through an alternative repository of the author's choosing, with notification to the Provost or Provost's designate, provided that such repository makes the work accessible in full-text to the public, without costs imposed on any individual user, and that it offers to preserve and maintain access to the work indefinitely.

Paragraphs 6 and 7: The “governance and policy review” paragraphs
An Open Access Policy and Implementation Committee will be charged with policy interpretation and with developing a plan that renders compliance with the policy as convenient for the faculty as possible. The OA Policy and Implementation Committee comprises two members of the Library/Faculty Advisory Board, one member of the Faculty Senate Academic Services Committee, one member of the library staff, and one representative of GTRC.

The policy and service model will be reviewed after three years and a report presented to the Faculty. Thereinafter, the policy will be reviewed every five years.
Looking Ahead

• Town Halls to be held in the Fall
• Comments welcome in any form